

UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office

NOTICE OF ALLOWANCE AND ISSUE FEE DUE

LM12/0614

H GORDON SHIELDS 7830 N 23RD AVENUE PHOENIX AZ 85021

| APPLICATION NO. | FILING DATE | TOTAL CLAIMS | EXAMINER AND GROUP ART UNIT | | DATE MAILED |
|--------------------|-------------|--------------|-----------------------------|-------|-------------|
| 09/398,274 | 09/20/99 | 004 | LIEU, J | 2736 | 06/14/00 |
| First Named BROWN, | | 35 U | SC 154(b) term ext. = | 0 Day | /S. |

TITLE OF BRAKE LIGHT APPARATUS INVENTION

| L | ATTY'S DOCKET NO. | | CLASS-SUBCLASS BATCH NO. | | Ą | PPLN. TYPE | SMALL ENTITY | FEE DUE | DATE DUE | |
|---|-------------------|------|--------------------------|-------|------|------------|--------------|----------|----------|--|
| | 2 | 9921 | 340-47 | 9.000 | REGN | UTILITY | YES | \$605.00 | 09/14/00 | |

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED.

THE ISSUE FEE MUST BE PAID WITHIN <u>THREE MONTHS</u> FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. <u>THIS STATUTORY PERIOD CANNOT BE EXTENDED.</u>

HOW TO RESPOND TO THIS NOTICE:

- I. Review the SMALL ENTITY status shown above. If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:
 - A. If the status is changed, pay twice the amount of the FEE DUE shown above and notify the Patent and Trademark Office of the change in status, or
 - B. If the status is the same, pay the FEE DUE shown above.
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- B. File verified statement of Small Entity Status before, or with, payment of 1/2 the FEE DUE shown above.
- II. Part B-Issue Fee Transmittal should be completed and returned to the Patent and Trademark Office (PTO) with your ISSUE FEE. Even if the ISSUE FEE has already been paid by charge to deposit account, Part B Issue Fee Transmittal should be completed and returned. If you are charging the ISSUE FEE to your deposit account, section "4b" of Part B-Issue Fee Transmittal should be completed and an extra copy of the form should be submitted.
- III. All communications regarding this application must give application number and batch number.

 Please direct all communications prior to issuance to Box ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

PATENT AND TRADEMARK OFFICE COPY

| | | Application No. 09/398,274 | | Applicant(s) | | | | |
|---|--|---|--|---|--|--|--|--|
| | Notice of Alleman ilia | | | | | | | |
| | Notice of Allowability | Examiner | | BROWN, JEFFREY C. | | | | |
| | | | | Artonic | | | | |
| F | | Julie Lie | <u>u</u> | 2736 | | | | |
| | The MAILING DATE of this communication appe All claims being allowable, PROSECUTION ON THE MERITS IS one nerewith (or previously mailed), a Notice of Allowance and Issue Foreign (or previously mailed). | a rs on the (OR REMA ee Due or | cover sheet with the could be shaded in this appropriate community of the contract of t | orrespondence add olication. If not include unication will be maile | ress ded ed in due course | | | |
| 1 | This communication is responsive to <u>amendment filed 4/12</u> | 2/00 | | | | | | |
| 2 | 2. The allowed claim(s) is/are 1 and 4-6 (renumbered as 1-4). | <u>. 00</u> . | | | | | | |
| 3 | B. The drawings filed on are acceptable. | | | | | | | |
| 4 | Acknowledgment is made of a claim for foreign priority und | er 35 U.S.C | : < 110/a_/d\ | | | | | |
| | a) ☐ All b) ☐ Some* c) ☐ None of the CERTIFI | IED copies | of the priority documents | havo hoon | | | | |
| | 1. received. | | or the phonty documents | nave been . | | | | |
| | 2. Treceived in Application No. (Series Code / Seri | ial Number | | | | | | |
| | 3. received in this national stage application from | the Interne | tional Durana (DOT Date | .= | | | | |
| | 3. received in this national stage application from the International Bureau (PCT Rule 17.2(a)).* Certified copies not received: | | | | | | | |
| 5 | . Acknowledgement is made of a claim for domestic priority un | nder 35 II (| C 9 110(a) | | | | | |
| | o and a state of a sta | nuel 33 O. | 5.C. & 119(e). | | | | | |
| | A SHORTENED STATUTORY PERIOD FOR REPLY to comply with the requirements noted below is set to EXPIRE THREE MONTHS FROM THE "DATE MAILED" of this Office Action. Failure to timely comply will result in ABANDONMENT of this application. Extensions of time may be available under the provisions of 37 CFR 1.136(a). | | | | | | | |
| 6. Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL APPLICATION (PTO-152) which gives reason(s) why the oath or declaration is deficient. A SUBSTITUTE OATH OR DECLARATION IS REQUIRED. | | | | | | | | |
| 7. | Applicant MUST submit NEW FORMAL DRAWINGS | | | | | | | |
| | (a) \square because the originally filed drawings were declared by a | applicant to | be informal | | | | | |
| | (b) including changes required by the Notice of Draftsperso | on's Patent | Drawing Review(PTO-9 | 48) attached | | | | |
| | 1) ☐ hereto or 2) ⊠ to Paper No. <u>3</u> . | | | TO) attached | | | | |
| | (c) including changes required by the proposed drawing co | rrection file | d which has bee | an approved by the e | ve main a u | | | |
| | (c) ☐ including changes required by the proposed drawing correction filed, which has been approved by the examiner. (d) ☐ including changes required by the attached Examiner's Amendment / Comment. | | | | | | | |
| | Identifying indicia such as the application number (see 37 drawings. The drawings should be filed as a separate paper Draftsperson. | CFR 1 8 <i>41a</i> | s)) should be written an | the reverse side of sed to the Official | the | | | |
| 8. | Note the attached Examiner's comment regarding REQUIRE | MENT FO | R THE DEPOSIT OF BIO | LOGICAL MATERIA | .L. | | | |
| | y reply to this letter should include, in the upper right hand corner plicant has received a Notice of Allowance and Issue Fee Due, th LOWANCE should also be included. | , the APPL e ISSUE B | ICATION NUMBER (SEF ATCH NUMBER and DA | RIES CODE / SERIAI TE of the NOTICE O | L NUMBER). If | | | |
| Att | achment(s) | | | | | | | |
| 3 5 | Notice of References Cited (PTO-892) Notice of Draftperson's Patent Drawing Review (PTO-948) Information Disclosure Statements (PTO-1449), Paper No Examiner's Comment Regarding Requirement for Deposit of Biological Material | | 2 Notice of Informal II 4 Interview Summary 6 Examiner's Amend 8 Examiner's Statem 9 Other | / (PTO-413), Paper № ment/Comment | lo | | | |
| | | | 1 | Julie Lieu Primary Examiner | | | | |

U.S. Patent and Trademark Office PTO-37 (Rev. 3-98)